



HARIA EXPORTS LIMITED

REGD. OFFICE : HARIA CENTRE, 8 SUBHASH ROAD, VILE PARLE (EAST), MUMBAI - 400 057.
TEL : 6154 6154, Email : accounts@hariagroup.com, CIN NO : L51900MH1970PLC014758

REF:- HEL/BSE/2021-22/1715

2nd August, 2021

To,
BSE Limited,
25th Floor, P J Towers
Dalal Street
MUMBAI-400 001
Scrip Code : INE772B01014

Sub :- Annual Secretarial Compliance Report for the year ended on 31st March, 2021 Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015

Ref : SOP-CReview-July2021(Reg.33 & 24A)

Dear Sir,

We enclose herewith a copy of Annual Secretarial Compliance Report issued by Mrs. Shilpa Ray (Practising Company Secretary) for the year ended on 31st March, 2021.

Please note that the previous Secretarial Auditor Mr. Harshad Mane has resigned citing the reason of ill health due to COVID infection. The Company could appoint new Secretarial Auditor Mrs. Shilpa Ray at the meeting of Board of Directors held on 29th July, 2021.

Therefore considering the unavoidable circumstances as mentioned above the Company humbly prays to waive the penalty imposed by your good office vide letter no.SOP-CReview-July2021(Reg.33 & 24A) dated 29th July, 2021.

Thanking you,

Yours faithfully,
For Haria Exports Limited

Director
DIN : 00585400



Encl : Board Resolution Dt.29/07/2021

Secretarial compliance report of M/s Haria Exports Limited
(CIN : L51900MH1970PLC014758)
for the year ended 31st March,2021

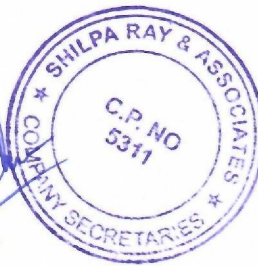
I Shilpa Ray, have examined:

- a) all the documents and records made available to us and explanation provided by **M/s Haria Exports Limited** (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March,2021 (“Review Period”) in respect of compliance with the provisions of :
 - (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued thereunder;

Shilpa Ray


and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder: **NONE**

Place: Mumbai
Date: 02/08/2021



Signature:

Shilpa Ray
Shilpa Ray

Proprietor, Shilpa Ray & Associates

FCS No.: 5936
C P No.: 5311



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CERTIFIED TRUE COPY OF THE RESOLUTION PASSED AT THE MEETING OF BOARD OF DIRECTORS OF HARIA EXPORTS LIMITED HELD ON THE 29TH DAY OF JULY, 2021 AT 5.30 P.M. AT THE REGISTERED OFFICE OF THE COMPANY SITUATED AT 8, SUBHASH ROAD, VILE PARLE (EAST), MUMBAI MH 400 057.

“RESOLVED THAT Subsequent to the resignation of present secretarial auditor Mr. Harshad Mane and pursuant to the provisions of Section 204 of the Companies Act, 2013 read with the Rules framed thereunder and other applicable provisions and Regulation 24A of SEBI (Listing Obligation and Disclosure Requirements) (Amendment) Regulations 2018 and other applicable provisions, if any, of the Companies Act, 2013, the Board of Directors hereby approve the appointment of M/s. Shilpa Ray & Associates, Practicing Company Secretaries as the Secretarial Auditors of the Company for the financial year 2020-21 and 2021-2022 on a fee as may be decided by the Company and the said firm plus out-of-pocket and travelling expenses.”

“RESOLVED FURTHER THAT M/s. Shilpa Ray & Associates, Practicing Company Secretaries be and is hereby appointed for the Reconciliation of Share Capital Audit under regulation 76 of the SEBI (Depositories and Participants) Regulations, 2018 and thereby submitting the same to the BSE within the time period stipulated under the regulations for every quarter of the financial year 2021-22 on a fee as may be decided by the Company and the said firm plus out-of-pocket and travelling expenses.”

“RESOLVED FURTHER THAT M/s. Shilpa Ray & Associates, Practicing Company Secretaries be and is hereby requested to issue Certificates under Regulation 40(9) read with 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and thereby submitting the same to the BSE within the time period stipulated under the regulations for every half year ended during the financial year 2021-22 on a fee as may be decided by the Company and the said firm plus out-of-pocket and travelling expenses.”

RESOLVED FURTHER THAT any Director and/or Company Secretary of the Company be and are hereby severally authorized to sign and execute the mandate letter for appointment of M/s. Shilpa Ray & Associates, Practicing Company Secretaries as Secretarial Auditors of the Company including filing of necessary forms with Registrar of Companies, Mumbai and to do all such act, deeds and things as may be considered necessary to give effect to the above resolution.”

Certified True Copy
For M/s Haria Exports Limited

Director
(DIN : 00585400)

