



HARIA EXPORTS LIMITED

REGD. OFFICE : HARIA CENTRE, 8 SUBHASH ROAD, VILE PARLE (EAST), MUMBAI – 400 057.
TEL : 6239 0086, Email : accounts@hariagroup.com CIN NO : L51900MH1970PLC014758

REF:- HAL/BSE/2022-23/1877

25th May 2022

To,
BSE Limited ,
25th Floor, P J Towers
Dalal Street
MUMBAI-400 001
Scrip Code : INE772B01014

Sub: Annual Secretarial Compliance Report for the year ended on 31st March, 2022 Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015,

Dear Sir,

We enclose herewith a copy of Annual Secretarial Compliance Report issued by Mrs. Shilpa Ray (Practising Company Secretary) for the year ended on 31st March, 2022.

Kindly acknowledge the receipt of the same.

Thanking you,

Thanking you,

**Yours faithfully,
For Haria Exports Limited**



Director

**Secretarial compliance report of M/s Haria Exports Limited
(CIN :L51900MH1970PLC014758)
for the year ended 31st March,2022**

I Shilpa Ray, have examined:

- a) all the documents and records made available to us and explanation provided by **M/s Haria Exports Limited**("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March,2022 ("Review Period") in respect of compliance with the provisions of :
 - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued thereunder; However the regulations under the point no. b,c,d,e,f,g listed above were not applicable during the period under review.



SACR/LODR-24A/2022/HEL

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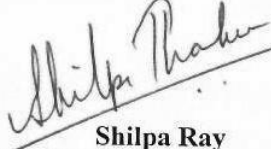
and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder except the delay in compliance under regulation 23(9) of SEBI (LODR) Regulations, 2015 for the quarter ended on 31st March,2021. The Company has duly paid the penalty levied by the Listing Compliance Department of BSE.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder: NONE except penalty levied for non-compliance of regulation 23(9) of SEBI (LODR) Regulations, 2015 for the quarter ended on 31st March,2021.

Place: Mumbai
Date: 25/05/2022



Signature:


Shilpa Ray
Proprietor Shilpa Ray & Associates

FCS No.: 5936
C P No.: 5311
UDIN :F005936D000386437